

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet		1	of	1	Attorney Docket Number
				5784-9	

## Complete if Known

Application Number	09/285,429
Filing Date	April 2, 1999
First Named Inventor	Shirley, et al.
Group Art Unit	1614
Examiner Name	not assigned

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
FH	1	5,126,324		Clark et al.	June 30, 1992	12/12/00
FM	2	5,236,704		Fujioka et al.	Aug. 17, 1993	514/12
FM	3	5,374,620		Clark et al.	Dec. 20, 1994	514/12
FH	4	5,597,797	JUN 28 1999	Clark	Jan. 28, 1997	514/12
FH	5	5,681,814	TM	Clark et al.	Oct. 28, 1997	514/12

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Office <sup>3</sup>	Kind Code Number <sup>4</sup> (if known) <sup>5</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
FH	6	WO	91/18621	Genentech, Inc.	Dec. 12, 1991		

Examiner Signature	F. Moesir	Date Considered	12/12/00
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RTA01/2064786v1

<sup>1</sup> Unique citation designation number.<sup>2</sup> See attached Kinds of U.S. Patent Documents.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	09/285,429
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U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	U.S. Patent Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
FM	7.	5,151,265	514/2	Hwang-Felgner et al.	09/29/92
FM	8.	5,681,814	514/2	Clark et al.	10/28/97

FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No.	Foreign Patent Document Office Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
FM	9.	EP 0 284 249	A1	Bontempo et al.	09/28/88
FM	10.	WO 96/40894		Olefsky et al.	12/19/96

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	11.	PCT International Search Report dated September 3, 1999 for PCT/US99/07531, filed April 2, 1999.			

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